REC'D 03 MAR 2004



PCT / IB 0 4 / 0 0 4 5 1

WORLD INTELLECTUAL PROPERTY ORGANIZATION ORGANISATION MONDIALE DE LA PROPRIÉTÉ INTELLECTUELLE

34, chemin des Colombettes, Case postale 18, CH-1211 Genève 20 (Sulsse) Téléphone: (41 22) 338 91 11 - e-mail: wlpo.mall @ wlpo.int, - Fac-similé: (41 22) 733 54 28

PATENT COOPERATION TREATY (PCT) TRAITÉ DE COOPÉRATION EN MATIÈRE DE BREVETS (PCT)

CERTIFIED COPY OF THE INTERNATIONAL APPLICATION AS FILED AND OF ANY CORRECTIONS THERETO

COPIE CERTIFIÉE CONFORME DE LA DEMANDE INTERNATIONALE, TELLE QU'ELLE A ÉTÉ DÉPOSÉE, AINSI QUE DE TOUTES CORRECTIONS Y RELATIVES

International Application No.

PCT/IB 0 3 / 0 0 6 6 2

International Filing Date
Date du dépôt international

20 FEBRUARY 2003

 $(20.02.03) \sim$

Geneva/Genève, 0.3 MARCH 2004 (0.3, 03, 04)

International Bureau of the World Intellectual Property Organization (WIPO)

Bureau International de l'Organisation Mondiale de la Propriété Intellectuelle (OMPI)

PRIORITY
DOCUMENT
SUBMITTED OR TRANSMITTED IN

SUBMITTED OR TRANSMITTED IN COMPLIANCE WITH RULE 17.1(a) OR (b)



J.-L. Baron
Head, PCT Receiving Office Section
Chef de la section "office récepteur du PCT"

PCT

REQUEST

The undersigned requests that the present international application be processed

PCT / IB 0 3 / 0 0 6	
International Application No.	
2.0 FEBRUARY 2003 International Filing Date	(2 0. 02. 03)
International Piling Date	
Name of receiving Office and PET.Ir	mendelonal Abrilination"
Name of receiving Office and vect.if	reculting a Consideration

according to the Patent Cooperation Treaty.	Name of receiving Office and Vaca Intermitisphiconspiration				
	Applicant's or agent's (if desired) (12 characte	file reference ers maximum) MOL0673-X			
Box No. I TITLE OF INVENTION		•			
ALUMINIUM ELECTROWINNING CELLS	WITH METAL-B	ASED ANODES			
BOX NO. II ALLEGAM	n is also inventor				
Name and address: (Family name followed by given name: for a legal ent. The address must include postal code and name of country. The country of it Box is the applicant's State (that is, country) of residence if no State of resident	tity, full official designation. the address indicated in this uce is indicated below.)	Telephone No.			
•		Facsimile No.			
MOLTECH Invent S.A.		Teleprinter No.			
6, rue Adolphe Fischer	:	1			
L-1520 LUXEMBOURG		Applicant's registration No. with the Office			
	State (that is, country)	of residence:			
State (that is, country) of nationality:	Suite (trut is, cominy)	LU			
	ed States except States of America	the United States the States indicated in the Supplemental Box			
Box No. III FURTHER APPLICANT(S) AND/OR (FURT	HER) INVENTOR(S)				
Name and address: (Family name followed by given name; for a legal en The address must include postal code and name of country. The country of Box is the applicant's State (that is, country) of residence if no State of residen	tity, full official designation. the address indicated in this nce is indicated below.)	This person is: applicant only applicant and inventor			
DE NORA, Vittorio		inventor only (If this check-box			
Sandrigham House NASSAU	is marked, do not fill in below.)				
Rahamas	Applicant's registration No. with the Office				
<i>Sanamas</i>					
State (that is, country) of nationality:	State (that is, country)				
IT		BS			
	ed States except States of America	the United States of America only the Supplemental Box			
Further applicants and/or (further) inventors are indicated	on a continuation sheet.				
Box No. IV AGENT OR COMMON REPRESENTATIVE	E; OR ADDRESS FOR	CORRESPONDENCE			
The person identified below is hereby/has been appointed to act		agent common representative			
Name and address: (Family name followed by given name; for a legal en The address must include postal code and name of	ulty, full official designation. country.)	Telephone No. +41 22 343 26 70			
		Facsimile No.			
CRONIN, Brian MOLTECH S.A.		+41 22 342 97 15			
Rte de Troinex 9		Teleprinter No.			
1227 CAROUGE					
SWITZERLAND		Agent's registration No. with the Office			
		1 4848			
Address for correspondence: Mark this check-box wher space above is used instead to indicate a special address to	o which correspondence	should be sent.			

Sheet No. 2...

Continuation of Box No. III FURTHER APPLICANT(S) A If none of the following sub-boxes is used, this sheet should no	AND/OR (FURTHER) INVENTOR(S) to be included in the request.							
Name and address: (Family name followed by given name; for a legal entity, full afficial designation. This person is: The address must include posal code and rame of country. The country of the address that included in this boat is the applicant's Stant (that is, country) of treatione; thou State of residence in thinkland below). applicant only								
NGUYEN, Thinh T. Rte du Grand-Lancy 165b 1213 ONEX	applicant and inventor inventor only (If this check-box is marked, do not fill in below.)							
SWITZERLAND	Applicant's registration No. with the Office							
State (that is, country) of nationality:	State (that is, country) of residence: CH							
This person is applicant for the purposes of:	States except the United States the States indicated in the Supplemental Box							
Name and address: (Femily name followed by given name; for a legal mit) The address made include posal and and and and orang. The county of the Bast is the applicant's State (that it, country) of residence (ino State of residence DURUZ, Jean-Jacques Rue de Hesse 4 1204 GENEVA SWITZERLAND	the definition designation, address indicated to this is tradicated below. In paper a popular and inventor inventor only (fifth check-box is marked, do not fill in below.) Applicant's registration No. with the Office							
State (that is, country) of nationality:	State (that is, country) of residence:							
This person is applicant all designated all designated for the purposes of:	States except							
Name and address: Causty name followed by given name; for a logal entity. The address arm include postal code and mane of courty. The county of the Bax is the applicant's State (that is, country) of residence (fno State of residence is the property of the state	This person is: diducted designation, address tadicated their in the discussed below.) This person is: applicant only applicant and inventor inventor only (If this check-box is marked, do not fill in below.) Applicant's registration No. with the Office							
State (that is, country) of nationality:	State (that is, country) of residence:							
This person is applicant all designated for the purposes of:	States except the United States the States indicated in the Supplemental Box							
Name and address: (Family name followed by given name: for a legal entity, The address must facility positioned and name of country. The canalry of the to Base of the supplement's State (that it, country) of residence if no State of residence is the applicant's State (that it, country) of residence if no State of residence is	full efficial designation, to the substitute of							
State (that is, country) of nationality:	State (that is, country) of residence:							
This person is applicant all designated of the purposes of:	tates except s of America only the States indicated in the Supplemental Box							
Further applicants and/or (further) inventors are indicated on a	another continuation sheet.							

Sheet No. . 3. . . .

	Discot Pro: Torrer	
Box No. V DESIGNATION OF STATES	Mark the applicable check-boxes below	; at least one must be marked.
The following designations are hereby made unc	der Rule 4.9(a):	
Regional Patent		
ITT CH Chan CM	Combin KE Kenya LS Lesotho, MW	Malawi, MZ Mozambique, SD Sudan,
AP ARIPO Patent: GH Ghana, GM	Gambia, RE Kenya, Do Desemo, 121	man ti contai ti i a a da a da a
SE Signa Deolie, Die omainment 2 - 1	til II Destacel and of the DCT Of at	her kind of protection or treatment desired,
State which is a Contracting State of	the transfer totocon and of the LC1 (A pr	
ic d-read line)		
specify on dolled line,		CZ Kazakhstan, MD Republic of Moldova,
EA Eurasian Patent: AM Armenia, AZA	Azerbajian, BY Belarus, KG Kyrgyzstan, I	(Z. Kazakhstan, M.D. Kepublic of Moldova,
EN EN EURSIEUT ETCHE TOUT TOUT	The Tunton and any other State w	high is a Contracting State of the Eurasian
RU Russian Federation, 13 Lajikistan	, sivi furkmenistan, and any other state v	hich is a Contracting State of the Eurasian
Patent Convention and of the PCT		
Patent Convention and or all 101		
EP European Patent: AT Austria, BE Be	elgium, BG Bulgaria, CH & Li Switzerian	d and Liechtenstein, CY Cyprus, CZ Czech
Republic, DE Germany, DK Dennial	K, EE Estonia, no opani, x s s mana, x s s	ON C I OV Clauside TD Turkey and
IF Ireland IT Italy, LU Luxembourg,	MC Monaco, NL Netherlands, PT Portugal,	SE Sweden, SK Slovakia, TR Turkey, and
Approximation Contraction	g State of the European Patent Convention	and of the PCT
any other State which is a Contracting	2 Diane or ale Dareheur rannin anni	
OA OAPI Patent: BF Burkina Faso, BJ	Benin, CF Central African Republic, CG	Congo, CI Côte d'Ivoire, CM Cameroon,
GA Gabon, GN Guinea, GQ Equator	Tai Guinea, GW Guillea-Dissau, IVID IVIIII.	, Mile man man, red rigor, or dealers
TD Ched TG Togo and any other Sta	ate which is a member State of OAPI and a	Contracting State of the PCT (if other kind
I D Chau, TO Togo, and any own.	cify on dotted line)	
of protection or treatment desired, spec	city on wouled time)	
National Patent (if other kind of protection or	r treatment desired, specify on dotted line):	· · · · · · · · · · · · · · · · · · ·
Transmit atent (y one man sy protection of	1 0140 11	NZ New Zealand
☐ AE United Arab Emirates □		
		OM Oman
☐ AG Antigua and Barbuda ☐	J DR Cloada	= 0.00 C
	HII Hungary	☐ PH Philippines
AL Albania		PL Poland
☐ AM Armenia	lD Indonesia	EN LE LOIGIG
I real transfer of the least of	1 II. Tornel	☐ PT Portugal
LAI Austria	3 113 131001	Pine n
☑ AU Australia	IN India	NO Romania
- NO /10000000	5 TO Incland	RU Russian Federation
☐ AZ Azerbaijan	s is iceiano	
DA DOSINA BIRI TICIZCEGO TIME	KE Kenya	CD Coules
□ BB Barbados □	KE Kenya	i sudan
BG Bulgaria	C Kvrpvzetan	X SE Sweden
☐ BG Bulgaria	RG Kyigyzsian	D 00 0:
⊠ BR Brazil	KP Democratic People's Republic	LI SG Singapore
	-ev	SI_Słovenia.
BY Belarus	01 Korca	<u></u>
☐ BZ Belize	KR Republic of Korea	⊠ SK Slovakia
	Term ve 11 de	☐ SL Sierra Leone
		- 3L Sicila Decilie
CH & LI Switzerland and Liechtenstein	I C Spint Lucia	☑ TJ Tajikistan
CH & LI SWIZErland and Discontension		TM Turkmenistan
⊠ CN China □		
	LR Liberia	☐ TN Tunisia
CO Colombia	I LK Liberia	TR Turkey
CR Costa Rica	LS Lesotho	EN TR Turkey
CU Cuba	T TO T Make and a	TT Trinidad and Tobago
L CU Cuba		
☑ CZ Czech Republic	1.II Luxembourg	
E CZ Czech Kepublic		☐ TZ United Republic of Tanzania
DE Germany	LV Latvia	L 12 Omicu Republic of Tanzania
☑ DE Germany	T MA Morroom	I UA Ukraine
	3 1474 143010000	T vo v
DM Dominica	J MD Republic of Moldova	UG Uganda
	•	US United States of America
DZ Algeria		
I	MG Madagascar	
☐ EE Estonia	1	T Y17 1 labeliston
TER Petonia	MK The former Yugoslav Republic of	LI UZ UZDEKISIMI
·	Manadonio	☐ VN Viet Nam
☑ ES Spain		—
🖾 FI Finland	J MN Mongolia	X YU Yugoslavia
1 = 1 · · · · · · · · · · · · · · · · ·	T seria feland	☑ ZA South Africa
☑ GB United Kingdom	J M W Malawi	ZA South Anton
☐ GD Grenada	MX Mexico	☐ ZM Zambia
LI GD Grenada	in the monitor in	□ mug: 1.1
☐ GE Georgia	J MZ Mozambique	L ZW Zimbaowe
☐ GH Ghana ⊠	NO Norway	
Check-boxes below reserved for designating Str	ates which have become party to the PCT a	fter issuance of this sheet:
Check-boxes below reserved for designating 5th		
Check-boxes below reserved for designating St	1	□
18	7	
	4	
Precautionary Designation Statement: In ad	1 Pri - 1 - the designations made -base the	applicant also makes under Rule 4 9/h) all
Precautionary Designation Statement: In ad	mution to the designations made above, the	approun also maxes under Ruie 4.9(b) an
excluded from the scope of this statement. The a	ibbucam occiates mar mose anomonar desig	
any designation which is not confirmed before to applicant at the expiration of that time limit. (Co	ordemation (including fees) must reach the re-	ceiving Office within the 15-month time limit.)
applicant at the expiration of that time limit. (Ca	organization (microante) ses) mastreach the re-	

A NO

Sheet	×1-	4

Box No. VI PRIORITY	CLAIM							
The priority of the following earlier application(s) is hereby claimed:								
Filing date Number Where earlier application is:								
of earlier application (daylmonth/year)	of earlier application	national application: country or Member of WTO	regional application:* regional Office	international application: receiving Office				
item (1)		**						
item (2)								
item (3)								
item (4)		0+1						
item (5)	Ŷ.			·				
	are indicated in the Suppleme							
The receiving Office is reque if the earlier application was i above as:		to the International Bureau the purposes of this interna-	tional application is the r	erier application(s) (only ecciving Office) identified other, see Supplemental Box				
	on is an ARIPO application, in	ndicate at least one country vanization for which that e	party to the Paris Conve arlier application was file	ntion for the Protection of				
Box No. VII INTERNAT	IONAL SEARCHING AU	THORITY						
Choice of International Sea international search, indicate	rching Authority (ISA) (if t the Authority chosen; the two	wo or more International St letter code may be used):	earching Authorities are c	competent to carry out the				
ISA /EP								
Request to use results of ca		hat search (if an earlier se	arch has been carried ou	t by or requested from the				
International Searching Author Date (day/month/year)	ority): Numb	per Count	try (or regional Office)					
Box No. VIII DECLARAT	TIONS							
The following declarations	are contained in Boxes Nos.	VIII (i) to (v) (mark the ap	oplicable .	Number of				
check-boxes below and indica	te in the right column the nun	nber of each type of declara	tion):	declarations				
Box No. VIII (i)	Declaration as to the identit			•				
Box No. VIII (ii)	Declaration as to the applic date, to apply for and be gr	ranted a patent	•	:				
Box No. VIII (iii)	Declaration as to the appli date, to claim the priority	icant's entitlement, as at the of the earlier application	e international filing					
Box No. VIII (iv)	Declaration of inventorship United States of America)	p (only for the purposes of	the designation of the	: *				
Box No. VIII (v)	Declaration as to non-preju	idicial disclosures or excep	tions to lack of novelty	:				

	Sheet No J	
Box No. IX CHECK LIST; LANGUAGE	OF FILING	
This international application contains: (a) the following number of sheets in paper form:	This international application is accompanied by the following item(s) (mark the applicable check-boxes below and indicate in right column the number of each item):	Number of items
request (including	1. fee calculation sheet	
declaration sheets) : 5	2. original separate power of attorney	
description (excluding sequence listing part) : 24	3. original general power of attorney	
claims : 5	4. copy of general power of attorney; reference number,	
abstract : 1	if any:	
drawings : 3	5. statement explaining lack of signature	
Sub-total number of sheets: 38 sequence listing part of	6. priority document(s) identified in Box No. VI as item(s):	
description (actual number of sheets if filed in paper	7. translation of international application into (language):	
form, whether or not also filed in computer readable form; see (b) below)	8. separate indications concerning deposited microorganism or other biological material :	
Total number of sheets : 38	sequence listing in computer readable form (indicate also type and number of carriers (diskette, CD-ROM, CD-R or other))	
b) sequence listing part of description filed in computer readable form	 (i) ☐ copy submitted for the purposes of international search under Rule 13ter only (and not as part of the 	
(i) only (under Section 801(a)(i))	international application) :	
(ii) in addition to being filed in paper form (under Section 801(a)(ii))	 (ii) (only where check-box (b)(i) or (b)(ii) is marked in left cohumn) additional copies including, where applicable, the copy for the purposes of international search under 	
Type and number of carriers (diskette, CD-ROM, CD-R or other) on which the sequence listing part is contained (additional copies to be indicated under item 9(ii), in	Rule 13ter : (iii) \(\sum_{\text{together with relevant statement as to the identity} \) of the copy or copies with the sequence listing part mentioned in left column :	•
right column):		
rigure of the drawings which	10. other (specify):	
	r, AGENT OR COMMON REPRESENTATIVE ning and the capacity in which the person signs (if such capacity is nat obvious from reading	the request
Manl CRONIN, Brian	Agent	
	For receiving Office use only	
. Date of actual receipt of the purported 20 international application:	(20 mm) =	ings:
Corrected date of actual receipt due to later by timely received papers or drawings completin the purported international application:	ut ()	- CUL
Date of timely receipt of the required corrections under PCT Article 11(2):	not :	eceived:
. International Searching Authority (if two or more are competent): ISA / E	6. X Transmittal of search copy delayed until search fee is paid	
	For International Bureau use only	
Date of receipt of the record copy by the International Bureau		

ALUMINIUM ELECTROWINNING CELLS WITH METAL-BASED ANODES

Field of the Invention

This invention relates to aluminium electrowinning cells having metal-based anodes which contain at least one of nickel, iron and copper and which are inhibited from passivating and dissolving and from causing unacceptable contamination of the product aluminium.

Background Art

The technology for the production of aluminium by the electrolysis of alumina, dissolved in molten cryolite, at temperatures around 950°C is more than one hundred years old and still uses carbon anodes and cathodes.

Using metal anodes in commercial aluminium electrowinning cells would be new and drastically improve the aluminium process by reducing pollution and the cost of aluminium production.

(Duruz/Derivaz/Debely/ 4,614,569 US Patents Adorian), 4,680,094 (Duruz), 4,683,037 (Duruz) and 4,966,674 (Bannochie/Sherriff) describe non-carbon anodes for aluminium electrowinning coated with a protective coating of cerium oxyfluoride, formed in-situ in the cell or pre-applied, this coating being maintained by the addition of a cerium compound to the molten cryolite electrolyte. This made it possible to have a protection of the anode surface from the electrolyte attack and to a certain extent from the gaseous oxygen but not from the nascent monoatomic oxygen.

20

25

EP Patent application 0 306 100 (Nguyen/Lazouni/
30 Doan) describes anodes composed of a chromium, nickel,
cobalt and/or iron based substrate covered with an
oxygen barrier layer and a ceramic coating of nickel,
copper and/or manganese oxide which may be further
covered with an in-situ formed protective cerium
oxyfluoride layer. Likewise, US Patents 5,069,771,
4,960,494 and 4,956,068 (all Nguyen/Lazouni/Doan)
disclose aluminium production anodes with an oxidised

- 2 -

copper-nickel surface on an alloy substrate with a protective oxygen barrier layer. However, full protection of the alloy substrate was difficult to achieve.

US Patent 6,248,227 (de Nora/Duruz) discloses an aluminium electrowinning anode having a metallic anode body which can be made of various alloys, for example a nickel-iron-copper alloy. During use, the surface of the anode body is oxidised by anodically evolved oxygen to form an integral electrochemically active oxide-based surface layer. The oxidation rate of the anode body is equal to the rate of dissolution of the surface layer into the electrolyte. This oxidation rate is controlled by the thickness and permeability of the surface layer which limits the diffusion of anodically evolved oxygen therethrough to the anode body.

US Patent 6,372,099 (Duruz/de Nora) discloses the use of transition metal species in an electrolyte below 910°C of an aluminium electrowinning cells to inhibit dissolution of metal-based anodes of the cell.

20

25

30

35

40

W000/06803 (Duruz/de Nora/Crottaz) and W000/06804 (Crottaz/Duruz) both disclose an anode produced from a nickel-iron alloy which is surface oxidised to form a coherent and adherent outer iron oxide-based layer whose surface is electrochemically active. W000/06804 also mentions that the anode may be used in an electrolyte at a temperature of 820° to 870°C containing 23 to 26.5 weight% AlF₃, 3 to 5 weight% Al₂O₃, 1 to 2 weight% LiF and 1 to 2 weight% MgF.

5,006,209 and 5.284.562 (both Patents US 6,258,247 and 6,379,512 (both Brown/ Beck/Brooks). Brooks/Frizzle/Juric), 6,419,813 (Brown/Brooks/Frizzle) and 6,436,272 (Brown/Frizzle) all disclose the use of nickel-copper-iron anodes in an aluminium production electrolyte at 660°-800°C containing 6-26 weight% NaF, 7-33 weight% KF, 1-6 weight% LiF and 60-65 weight% AlF3. The electrolyte may contain Al2O3 in an amount of up to 30 weight%, in particular 5 to 10 or 15 weight%, most of which is in the form of suspended particles and some of which is dissolved in the electrolyte, i.e. typically 1 to 4 weight% dissolved Al₂O₃. In US Patents 6,258,247, 6,379,512, 6,419,813 and 6,436,272 such an electrolyte is said to be useable at temperatures up to 900°C. In US

Patents 6,258,247 and 6,379,512 the electrolyte further contains 0.004 to 0.2 weight% transition metal additives to facilitate alumina dissolution and improve cathodic operation.

US Patent 5,725,744 (de Nora/Duruz) discloses an aluminium production cell having anodes made of nickel, iron and/or copper in a electrolyte at a temperature from 680° to 880°C containing 42-63 weight% AF₃, up to 48 weight% NaF, up to 48 weight% LiF and 1 to 5 weight% Al₂O₃. MgF₂, KF and CaF₂ are also mentioned as possible bath constituents.

5

15

30

35

40

Metal or metal-based anodes are highly desirable in aluminium electrowinning cells instead of carbon-based anodes. Many attempts were made to use metallic anodes for aluminium production, however they were never adopted by the aluminium industry for commercial aluminium production because their lifetime was too short and needs to be increased.

Summary of the Invention

20 One object of the invention is to provide an aluminium electrowinning cell incorporating metal-based anodes which remain substantially insoluble at the cell operating temperature and which can be operated without passivation or excessive contamination of the produced aluminium.

Another object of the invention is to provide an aluminium electrowinning cell operating with a crustless and ledgeless electrolyte, which can achieve high productivity, low contamination of the product aluminium, and whose components resist corrosion and wear.

The invention relates to a cell for electrowinning aluminium from alumina. The cell comprises: a metalbased anode having an outer part that contains at least one of nickel, cobalt and iron and that has an electrochemically active oxide-based surface; and a fluoride-containing molten electrolyte in which the active anode surface is immersed, the electrolyte being at a temperature below 950°C, in particular in the range from 910° to 940°C. The electrolyte consists of: 6.5 to 11 weight% of dissolved alumina; 35 to 44 weight% aluminium fluoride; 38 to 46 weight% sodium fluoride; 2

to 7 weight% potassium fluoride; 0 to 5 weight% calcium fluoride; and 0 to 5 weight% in total of one or more further constituents.

For instance, the electrolyte consists of: 7 to 10 weight% dissolved alumina; 36 to 42 weight% aluminium fluoride, in particular 36 to 38 weight%; 39 to 43 weight% sodium fluoride; 3 to 5 weight% potassium fluoride; 2 to 4 weight% calcium fluoride; and 0 to 3 weight% in total of one or more further constituents. This corresponds to a cryolite-based (Na₃AlF₆) molten electrolyte containing an excess of aluminium fluoride (AlF₃) in the range of about 8 to 15 weight%, in particular about 8 to 10 weight%.

Such an electrolyte composition is well adapted for aluminium electrowinning at reduced temperature, i.e. at a temperature below the conventional aluminium electrowinning temperature of about 950°-970°C, using a metal-based anode containing at least one of nickel, cobalt and iron.

20 The presence in the electrolyte of potassium fluoride in the given amount has two effects. On the one hand, it leads to a reduction of the operating temperature by up to several tens of degrees without increase of the electrolyte's aluminium fluoride content 25 or even a reduction thereof compared to standard electrolytes operating at about 950°C with an aluminium fluoride content of about 45 weight%. On the other hand, it maintains a high solubility of alumina, i.e. up to above about 8 or 9 weight%, in the electrolyte even 30 though the temperature of the electrolyte is reduced compared to conventional temperature.

Hence, in contrast to prior art low temperature electrolytes which carry large amounts of undissolved alumina in particulate form, according to the present invention a large amount of alumina in the electrolyte is in a dissolved form.

35

Without being bound to any theory, it is believed that combining a high concentration of dissolved alumina in the electrolyte and a limited concentration of aluminium fluoride leads predominantly to the formation of (basic) fluorine-poor aluminium oxyfluoride ions ([$Al_2O_2F_4$]²⁻) instead of (acid) fluorine-rich aluminium

oxyfluoride ions ([Al₂OF₆]²⁻) near the anode. As opposed to acid fluorine-rich aluminium oxyfluoride ions, basic fluorine-poor aluminium oxyfluoride ions do not significantly passivate the anode's nickel and cobalt or dissolve the anode's iron. The weight ratio of dissolved alumina/aluminium fluoride in the electrolyte should be above 1/7, and often above 1/6 or even above 1/5, to obtain a favourable ratio of the fluorine-poor aluminium oxyfluoride ions and the fluorine-rich aluminium oxyfluoride ions.

It follows that the use of the above described electrolyte with metal-based anodes containing at least one of nickel, cobalt and iron inhibits passivation and corrosion thereof.

10

15

20

25

30

35

In order to maintain the alumina concentration above the given threshold during normal electrolysis, the cell is preferably fitted with means to monitor and adjust the electrolyte's alumina content.

Advantageously, the electrolyte contains alumina at a concentration near saturation on the active anode surface, typically above 80, 85 or even 90% of the alumina saturation concentration.

The abovementioned one or more further constituents of the electrolyte may comprise at least one fluoride selected from magnesium fluoride, lithium fluoride, cesium fluoride, rubidium fluoride, strontium fluoride, barium fluoride and cerium fluoride.

Advantageously, the cell is sufficiently insulated to be operated with a substantially crustless and/or ledgeless electrolyte. Suitable cell insulation is disclosed in WOO2/070784 and PCT/IBO2/02018 (both de Nora/Berclaz).

The cell can have a cathode that has an aluminium-wettable surface, in particular a drained horizontal or inclined surface. Suitable cathode designs are for example disclosed in US Patents 5,683,559, 5,888,360, 6,093,304 (all de Nora), 6,258,246 (Duruz/de Nora), 6,358,393 (Berclaz/de Nora) and 6,436,273 (de Nora/Duruz), and in PCT publications WO99/02764 (de Nora/Duruz), w000/63463 (de Nora), W001/31086 (de Nora/Duruz) and W001/31088 (de Nora).

The cathode can have an aluminium-wettable coating that comprises a refractory boride and/or an aluminium-wetting oxide. Suitable aluminium-wettable materials are disclosed in W001/42168 (de Nora/Duruz), W001/42531 (Nguyen/Duruz/de Nora), W002/070783 (de Nora), W002/096830 (Duruz/Nguyen/de Nora) and W002/096831 (Nguyen/de Nora).

5

The anode may have a metallic or cermet body and an oxide layer integral with or applied on the anode body.

10 Usually, the anode body is made from an iron alloy, in particular an alloy of iron with nickel and/or cobalt. Suitable alloys are disclosed in US Patent 6,248,227 (de Nora/Duruz) and in PCT publications W000/06803 (Duruz/de Nora/Crottaz), W000/06804
5 (Crottaz/Duruz), W000/40783 (de Nora/Duruz), W001/42534 (de Nora/Duruz) and W001/42536 (Duruz/Nouven/de Nora).

In one embodiment, the anode consists predominantly of iron and is optionally covered with an integral iron oxide-based layer.

20 For example, the anode body is made from an alloy consisting of:

- consisting of:
 65 to 95 weight% iron, in particular 75 to 90 weight%;
- 2 to 10 weight% aluminium, in particular 3 to 6 weight%:
- 25 0 to 5 weight% niobium, in particular 2 to 4 weight%;
 - 0 to 3 weight% hafnium, in particular 1 to 2 weight%;
 - 0 to 15 weight% in total of nickel and/or cobalt, in particular 0 to 10 or 0 to 6 weight%;
 - 0 to 6 weight% copper, in particular 0 to 4 weight%; and

30 and - 0 to 2 weight% in total of further constituents, in particular 0.5 to 1 weight%.

The may be in the range from 0.25 to 3 weight%, in particular 0.75 to 2.5 weight%.

35 In another embodiment anode body is made from an nickel and/or cobalt-based alloy.

For example, the anode body is made from an alloy consisting of:

- 50 to 65% in total of nickel and/or cobalt, in 40 particular 55 to 60 weight%;
 - 25 to 40 weight% iron, in particular 30 to 35 weight%;

- 7 -

- 3 to 11 weight% copper, in particular 5 to 9 weight%;
- 1 to 3 weight% aluminium, in particular 2 to 3 weight%;
- 0 to 2 weight% niobium, in particular 0 to 1 weight%;
- 0 to 2 weight% hafnium, in particular 0 to 1 weight%; and
 - 0 to 2 weight% in total of further constituents, in particular 0.5 to 1 weight%.

The total amount of niobium, hafnium and further constituents may be in the range from 0.25 to 5 weight%, in particular 1.5 to 3 weight%.

15

20

Typically, the anode alloy is oxidised prior to or during use. This can lead to diffusion of metals in the anode, especially at the alloy's surface, which locally changes the alloy's composition.

The anode body can be covered with an integral iron oxide-based layer containing oxides of iron and of at least one of nickel and cobalt, and optionally of one or more further metals, present in the following amounts calculated as weight* of the metals: 65 to 99 weight* iron, in particular 80 to 95 weight*; 1 to 35 weight* in total of nickel and/or cobalt, in particular 5 to 20 weight*; and 0 to 3 weight* in total of said one or more further metals, in particular 0 to 1.5 weight*. Such integral layers are usually obtained by preoxidation of the body before and/or during use in the cell. However, integral oxide layers can also be obtained by in-situ oxidation.

The anode may also comprise an applied iron oxidebased coating. Suitable iron oxide-based coatings are disclosed in US Patents 6,361,681 (de Nora/Duruz), 6,365,018 (de Nora) and 6,413,406 (de Nora), and in PCT application PCT/IBO2/02973 (Nguyen/de Nora). For example, the anode coating contains Fe₂O₃ and optionally: 35 at least one dopant selected from TiO₂, ZnO and CuO and/or at least one inert material selected from nitrides and carbides.

Especially when used in the upper part of the abovementioned operating temperature range (e.g. 930°-950°C), the anode can comprise an applied cerium oxyfluoride-based outermost coating, for example as disclosed in the abovementioned US Fatents 4,614,569,

4,680,094, 4,683,037 and 4,966,674. Such a coating may be applied before or during use and maintained during use by the presence of cerium species in the electrolyte.

5 A stem, in particular containing nickel and/or iron, can be used to suspend the anode in the electrolyte. A suitable stem can have a core containing nickel and/or iron which is covered with an applied oxide coating, in particular an applied coating containing aluminium oxide and titanium oxide. The core of the stem can comprise a copper inner part and an outer part containing nickel and/or iron.

Suitable anode designs are for example disclosed in W099/02764 (de Nora/Duruz), W000/40781 and W000/40782 to both de Nora).

Usually, the cell comprises at least one component, e.g. the cathode, that contains a sodium-active cathodic material, such as elemental carbon. This sodium-active cathodic material is preferably shielded from the 20 electrolyte by a sodium-inert layer to inhibit the presence in the molten electrolyte of soluble cathodically-produced sodium metal that constitutes an agent for dissolving the active oxide-based anode surface. This mechanism is explained in greater detail in USSN 10/112,673 (de Nora/Duruz).

The invention also relates to a cell that comprises:

- a metal-based anode having an outer part that has an electrochemically active oxide-based surface and that is made from an alloy consisting of:
 - 75 to 90 weight% iron;

30

35

40

- 3 to 6 weight% aluminium;
- 0 to 4 weight% in total of niobium and/or hafnium;
- 0 to 10 weight% in total of nickel and/or cobalt;
- 0 to 4 weight% copper; and
- 0.5 to 1 weight% in total of further constituents; or
- 55 to 60 weight % in total of nickel and/or cobalt;
- 30 to 35 weight% iron;
- 5 to 9 weight% copper;
- 2 to 3 weight% aluminium;
- 0 to 1 weight% in total of niobium and/or hafnium; and

- 0.5 to 1 weights in total of further constituents;
 an anode stem containing nickel and/or iron for suspending the anode in the electrolyte, the stem being covered with a coating of aluminium oxide and titanium oxide:
- a fluoride-containing molten electrolyte in which the active anode surface is immersed and which is at a temperature in the range from 910° to 940°C and which consists of: 7 to 10 weight% dissolved alumina; 36 to 42 weight% aluminium fluoride; 39 to 43 weight% sodium fluoride; 3 to 5 weight% potassium fluoride; 2 to 4 weight% calcium fluoride; and 0 to 3 weight% in total of one or more further constituents; and
 - a cathode having an aluminium-wettable surface, in particular a drained horizontal or inclined surface, formed by an aluminium-wettable coating of refractory hard material and/or aluminium-wetting oxide.

15

25

35

A further aspect of the invention relates to a method of electrowinning aluminium in a cell as described above. The method comprises electrolysing the dissolved alumina to produce oxygen on the anode and aluminium cathodically, and supplying alumina to the electrolyte to maintain therein a concentration of dissolved alumina of 6.5 to 11 weight%, in particular 7 to 10 weight%.

Brief Description of Drawings

The invention will be further described with reference to the accompanying drawings, in which:

- Figures 1a and 1b schematically show respectively
 a side elevation and a plan view of an anode for use in a cell according to the invention;
 - Figures 2a and 2b show a schematic crosssectional view and a plan view, respectively, of an aluminium production cell for equipment with a potassium fluoride-containing electrolyte and a metal-based anode according to the invention; and
 - Figure 3 shows a schematic cross-sectional view of another aluminium production cell for equipment with a potassium fluoride-containing electrolyte and a metal-based anode according to the invention.

Detailed Description

Figures 1a and 1b schematically show an anode 10 which can be used in a cell for the electrowinning of aluminium according to the invention.

The anode 10 comprises a series of elongated 5 straight anode members 15 connected to a cast profiled support 14 for connection to a positive bus bar.

The cast or profiled support 14 comprises a lower horizontally extending foot 14a for electrically and mechanically connecting the anode members 15, a stem 14b for connecting the anode 10 to a positive bus bar and a pair of lateral reinforcement flanges 14c between the foot 14a and stem 14b.

The anode members 15 may be secured by forcefitting or welding the foot 14a on flats 15c of the anode members 15. As an alternative, the connection between the anode members 15 and the corresponding receiving slots in the foot 14a may be shaped, for allow only instance like dovetail ioints. to 20 longitudinal movements of the anode members.

15

25

30

35

The anode members 15 have a bottom part 15a which has a substantially rectangular cross-section with a constant width over its height and which is extended upwardly by a tapered top part 15b with a generally triangular cross-section. Each anode member 15 has a flat lower oxide surface 16 that is electrochemically active for the anodic evolution of oxygen during operation of the cell. Also, the anode may be covered with a coating of iron oxide-based material, for example applied from a composition as set out in Table III below, and/or a coating of one or more cerium compounds in particular cerium oxyfluoride.

The anode members 15, in particular their bottom parts 15a, are made of an alloy comprising iron, nickel and/or cobalt as disclosed in Table II below. The lifetime of the anode may be increased by a protective coating made of cerium compounds, in particular cerium oxyfluoride as discussed above.

The anode members 15 are in the form of parallel 40 rods in a coplanar arrangement, laterally spaced apart from one another by inter-member gaps 17. The intermember gaps 17 constitute flow-through openings for the circulation of electrolyte and the escape of anodicallyevolved gas released at the electrochemically active surfaces 16.

Figure 2a and 2b show an aluminium electrowinning cell having a series of metal-based anodes 10 in a fluoride-containing cryolite-based molten electrolyte 5 containing dissolved alumina according to the invention.

10 The electrolyte 5 has a composition that is selected from Table I below. The metal-based anodes 10 have a composition selected from Table II below, optionally with a protective coating made of cerium compounds, in particular cerium oxyfluoride as discussed 15 above.

The anodes 10 are similar to the anode shown in Figs. 1a and 1b. Suitable alternative anode designs are disclosed in W000/40781, W000/40782 and W003/006716 (all de Nora).

The drained cathode surface 20 is formed by tiles 21A which have their upper face coated with an aluminium-wettable layer. Each anode 10 faces a corresponding tile 21A. Suitable tiles are disclosed in greater detail in W002/096830 (Duruz/Nguyen/de Nora).

Tiles 21A are placed on upper aluminium-wettable faces 22 of a series of carbon cathode blocks 25 extending in pairs arranged end-to-end across the cell. As shown in Figures 2a and 2b, pairs of tiles 21A are spaced apart to form aluminium collection channels 36 that communicate with a central aluminium collection groove 30.

The central aluminium collection groove 30 is located in or between pairs of cathode blocks 25 arranged end-to-end across the cell. The tiles 21A preferably cover a part of the groove 30 to maximise the surface area of the aluminium-wettable cathode surface 20.

As explained hereafter, the cell is thermally sufficiently insulated to enable ledgeless and crustless 40 operation.

The cell comprises sidewalls 40 made of an outer layer of insulating refractory bricks and an inner layer of carbonaceous material exposed to molten electrolyte 5 and to the environment thereabove. These sidewalls 40 are protected against the molten electrolyte 5 and the environment thereabove with tiles 21B of the same type as tiles 21A. The cathode blocks 25 are connected to the sidewalls 40 by a peripheral wedge 41 which is resistant to the molten electrolyte 5.

Furthermore, the cell is fitted with an insulating cover 45 above the electrolyte 5. This cover inhibits heat loss and maintains the surface of the electrolyte in a molten state. Further details of suitable covers are disclosed W001/31086 (de Nora/Duruz), W002/070784

15 and PCT/IB02/02018 (both de Nora/Berclaz).

In operation of the cell illustrated in Figs. 2a and 2b, alumina dissolved in the molten electrolyte 5 at a temperature of 880° to 940°C is electrolysed between the anodes 10 and the cathode surface 20 to produce gas on the operative anodes surfaces 16 and molten aluminium on the aluminium-wettable drained cathode tiles 21A.

20

35

40

The cathodically-produced molten aluminium flows on the drained cathode surface 20 into the aluminium collection channels 36 and then into the central aluminium collection groove 30 for subsequent tapping.

The cell shown in Figure 3 comprises a plurality of metal-based anodes 10 dipping in a molten electrolyte 5 according to the invention.

The anodes 10 are similar to the anode shown in Figs. 1a and 1b. Suitable alternative anode designs are disclosed in W000/40781, W000/40782 and W003/006716 (all de Nora).

The cell bottom comprises a series of pairs of spaced apart carbon cathode blocks 25 placed across the cell and having an aluminium-wettable upper surface 22 formed by an aluminium-wettable layer. The upper surfaces 22 are covered with aluminium-wettable openly porous plates 21 which are filled with molten aluminium to form an aluminium-wetted drained active cathode surface 20 above the upper surfaces 22 of the carbon cathode blocks 25. Further details of such a cathode

bottom are disclosed in W002/097168 and W002/097169 (both de Nora).

The cathode blocks 25 are made of graphite and have a reduced height, e.g. 30 cm, and are coated with an aluminium-wettable layer which forms the upper surface 22 and which protects the graphite from erosion and wear. Suitable aluminium-wettable layers are disclosed in US Patent 5,651,874, W098/17842, W001/42168 and W001/42531. The aluminium-wettable openly porous plates 21 covering the coated cathode blocks 25 can be made of the material disclosed in W002/070783 (de Nora).

The cell bottom further comprises a centrally-located recess 35 which extends at a level below the upper surfaces 22 of the carbon cathode blocks 25 and which during use collects molten aluminium 60 drained from the aluminium-wettable drained active cathode surface 20.

15

20

25

30

35

40

The aluminium collection recess 35 is formed in a reservoir body 30 which is placed between the blocks 25 of each pair of cathode blocks and spaces them apart across the cell. As shown in Figure 3, the recess 35 formed in the reservoir body 30 is generally U-shaped with rounded lower corners and an outwardly curved upper part.

The reservoir body 30 is made of two generally L-shaped sections 31 assembled across the cell. The reservoir sections 31 are made of anthracite-based material. The aluminium-wettable layer forming the upper surfaces 22 extends in the recess 35 to protect the reservoir body 30 during use against wear and sodium or potassium intercalation.

As shown in Figure 3, the reservoir body 30 extends below the cathode blocks 25 into the refractory and insulating material 26 of the cell bottom permitting maximisation of the capacity of the aluminium collection recess 35.

Furthermore, the reservoir body 30 has a solid base 32 which extends from above to below the bottom face of the cathode blocks 25 and provides sufficient mechanical resistance to keep the blocks 25 properly spaced apart across the cell when exposed to thermal expansion during start-up of the cell and normal operation. As shown in

dotted lines in the upper part of the reservoir body 30, longitudinally spaced apart spacer bars 33 placed across the reservoir body 30 may provide additional mechanical strength to the reservoir body 30. Such spacer bars 33 can be made of carbon material coated with an aluminium-wettable protective layer.

The openly porous plates 21 placed on the upper surfaces 22 of the carbon cathode blocks 25 and located in the central region of the cell bottom extend over part of the aluminium collection recess 35 so that during use the protruding part of the aluminium-wetted drained active cathode surface 20 is located over the recess 35.

10

15

20

30

35

The openly porous plates 21 are spaced apart over the aluminium collection recess 35 to leave an access for the tapping of molten aluminium through a conventional tapping tube. The spacing between the openly porous plates 21 over the aluminium collection recess can be much smaller along the remaining parts of the recess 35, thereby maximising the surface area of the active cathode surface 20.

The cell shown in Figure 3 comprises a series of corner pieces 41 made of the same openly porous material as plates 21 and filled with aluminium and placed at the periphery of the cell bottom against sidewalls 40. The sidewalls 40 and the surface of the electrolyte 5 are covered with a ledge and a small crust of frozen electrolyte 6. The cell is fitted with an insulating cover 45 above the electrolyte crust 6. Further details of suitable covers are disclosed WOO1/31086 (de Nora/Duruz), WOO2/070784 and PCT/IBO2/02018 (both de Nora/Berclaz).

The cell is also provided with exhaust pipes (not shown) that extend through the cover 45 for the removal of gases produced during electrolysis.

The cell comprises alumina feeders 50 with feeding tubes 51 that extend through the insulating cover 45 between the anodes 10. The alumina feeders 50 are associated with a crust breaker (not shown) for breaking the crust 6 underlying the feeding tube 51 prior to feeding.

In a variation, the insulating material of the sidewalls 40 and cover 45 may be sufficient to prevent formation of any ledge and crust of frozen electrolyte. In such a case, the sidewalls 40 are preferably completely shielded from the molten electrolyte 5 like in the cell of Figs. 2a and 2b or by a lining of the aforesaid openly porous material filled with aluminium.

Enhanced alumina dissolution may be achieved by utilising an alumina feed device which sprays and distributes alumina particles over a large area of the surface of the molten electrolyte 5. Suitable alumina disclosed in WO00/63464 are devices Nora/Berclaz) and in PCT/IB02/02689 (Berclaz/Duruz). Furthermore, the cell may comprise means (not shown) to promote circulation of the electrolyte 5 from and to the anode-cathode gap to enhance alumina dissolution in the electrolyte 5 and to maintain in permanence a high concentration of dissolved alumina close to the active surfaces of anodes 10, for example as disclosed in WO00/40781 (de Nora).

10

15

20

25

30

40

During operation of the cell shown in Figure 3, alumina dissolved in the electrolyte 5 is electrolysed to produce oxygen on the anodes 10 and aluminium 60 on the drained cathode surfaces 20. The product aluminium 60 drains from the cathode surfaces 20 over the openly porous plates 21 that extend over part of the reservoir 30 into the reservoir 30 from where it can be tapped.

Hence, aluminium is produced on the drained active cathode surface 20 which covers not only the cathode blocks 25 but also part of the reservoir 30, thereby maximising the useful aluminium production area (i.e. the drained cathode surface 22) of the cell.

Figs. 2a, 2b and 3 show specific aluminium electrowinning cells by way of example. It is evident that many alternatives, modifications, and variations will be apparent to those skilled in the art.

For instance the cell may have a sloping cathode bottom, as disclosed in W099/02764 (de Nora/Duruz), and optionally one or more aluminium collection reservoirs across the cell, each intersecting the aluminium collection groove to divide the drained cathode surface

into four quadrants as described in W000/63463 (de Nora).

Examples of electrolyte compositions according to the invention are given in Table 1, which shows the 5 weight percentages of the indicated constituents for each specimen electrolyte Al-II at a given operating temperature.

TABLE 1

	AlF ₃	NaF.	KF	CaF ₂	Al ₂ O ₃	т°С
A1	41	45	2.5	2.5	9	9480
В1	39.2	43.8	5	2	10	945°
C1	40.4	44.1	4	2	9.5	940°
D1	39.6	42.9	5	3	9.5	935°
E1	39	41.5	6.5	3.5	9.5	930°
F1	42	42	5	2	9	925°
G1	41.5	41.5	5	3	9	915°

10

15

Examples of alloy compositions of suitable metal-based anode are given in Table 2 that shows the weight percentages of the indicated metals for each specimen alloy A2-P2, in which specimens A2-I2 are nickel and/or cobalt-based alloys and specimens J2-P2 consist predominantly of iron.

TABLE 2

Ni	Co	Fe	Cu	Al	Nb	Hf	other
57	-	31	9	2	-	-	1
55	-	33	9	2	-	-	1
60	-	30	7.5	1.5		_	1
25	35	32	5.5	1.5	-		1
	64	30	4	1.5	-	-	0.5
15	45	28	9	2.5	-	-	0.5
52	-	38	6	2	-	1.5	0.5
12	50	26	8	1.5	1	1	0.5
52	-	34	10	2	0.5	0.5	1
3	-	91	-	5.5	-		0.5
3	3	88	-	4	1.5	-	0.5
10	-	80	1	6	1	1	1
8	6	77		6	0.5	1.5	1
 -	14	72	5	6	2	-	1
12	-	74	4	7	1	1.5	0.5
6	3.5	80	2	4	3.5	: -	1
	57 55 60 25 - 15 52 12 52 3 .3 10 8 - 12	57 -	57 - 31 55 - 33 60 - 30 25 35 32 - 64 30 15 45 28 52 - 38 12 50 26 52 - 34 3 - 91 3 3 88 10 - 80 8 6 77 - 14 72 12 - 74	Ni Co Fe Cu 57 - 31 9 55 - 33 9 60 - 30 7.5 25 35 32 5.5 - 64 30 4 15 45 28 9 52 - 38 6 12 50 26 8 52 - 34 10 3 - 91 - 3 3 88 - 10 - 80 1 8 6 77 - - 14 72 5 12 - 74 4	Ni Co Fe Cu Al 57 - 31 9 2 55 - 33 9 2 60 - 30 7.5 1.5 25 35 32 5.5 1.5 - 64 30 4 1.5 15 45 28 9 2.5 52 - 38 6 2 12 50 26 8 1.5 52 - 34 10 2 3 - 91 - 5.5 3 3 88 - 4 10 - 80 1 6 8 6 77 - 6 - 14 72 5 6 12 - 74 4 7	Ni Co Fe Cu A1 Nb 57 - 31 9 2 - 55 - 33 9 2 - 60 - 30 7.5 1.5 - 25 35 32 5.5 1.5 - - 64 30 4 1.5 - 15 45 28 9 2.5 - 52 - 38 6 2 - 12 50 26 8 1.5 1 52 - 34 10 2 0.5 3 - 91 - 5.5 - 3 3 88 - 4 1.5 10 - 80 1 6 1 8 6 77 - 6 0.5 - 14 72 5 6 2	Ni Co Fe Cu A1 Nb Hf 57 - 31 9 2 - - 55 - 33 9 2 - - 60 - 30 7.5 1.5 - - 25 35 32 5.5 1.5 - - - 64 30 4 1.5 - - 15 45 28 9 2.5 - - 52 - 38 6 2 - 1.5 12 50 26 8 1.5 1 1 52 - 34 10 2 0.5 0.5 3 - 91 - 5.5 - - 3 3 38 - 4 1.5 - 3 3 38 - 4 1.5 -

The "other" elements refer to minor additives such as manganese, silicon and yttrium which may be present in individual amounts of 0.2 to 1.5 weight%. Usual impurities, such as carbon, have not been listed in Table 2.

Examples of starting compositions of particle mixtures for producing hematite-based protective anode to coatings are given in Table 3, which shows the weight percentages of the indicated constituents for each specimen starting composition of the coating A3-L3.

TABLE 3

	Fe ₂ O ₃	BN	AlN	ZrC	TiO2	ZrO2	ZnO	Ta ₂ O ₅	CuO
	1 0203	DIV	AIN	210	1102	2102	2110	14205	Cuo
A3	78	10			10				2
В3	78	10				,	10		2
C3	70	18					10		2
D3	78	10				10			2
E3	80	10							10
F3	78	10		-				10	2
G3	78		10		10				2
н3	78		12		-		5	3	2
13	70	10	4	3		, 2	5.5	3	2.5
J3	75	14			5	5			1
К3	85	5	4	-			6		
L3	75			12	5			5	3

Comparative Example 1

A metal-based anode was tested in a potassium fluoride-free electrolyte at 925°C.

The anode was manufactured from a rod of diameter 20 mm and total length 20 mm made from a cast nickel-based alloy having the composition of sample A2 of Table 2. The anode rod was supported by a stem made of an alloy containing nickel, chromium and iron, such as Inconel, protected with an alumina sleeve. The anode was suspended for 16 hours over the molten fluoride-based electrolyte whereby its surface was oxidised prior to immersion into the electrolyte.

10

15

Electrolysis was carried out by fully immersing the anode rod in the molten electrolyte. The potassium fluoride-free electrolyte contained 45 weight% aluminium fluoride (AIF₃), 45 weight% sodium fluoride (NAF), 4

weight% calcium fluoride (CaF_2) and 6 weight% alumina (Al_2O_3). The saturation concentration of alumina in such an electrolyte, unattainable in practice, is at 7.6 weight%.

The current density was about 0.8 A/cm² and the cell voltage was at 3.6-3.8 volt for 24 hours. The concentration of dissolved alumina in the electrolyte was maintained during the entire electrolysis by periodically feeding fresh alumina into the cell.

After 32 hours the cell voltage increased to 10 volt and electrolysis was interrupted. The anode was extracted. Upon cooling the anode was examined externally and in cross-section.

10

15

20

25

30

The anode's outer dimensions had remained substantially unchanged. The anode's oxide outer part had grown from an initial thickness of about 20-30 micron to a thickness after use of about up to 1000 micron. A yellow-green layer of nickel fluoride (NiF₂) was observed between the oxide outer part and the metallic inner part of the anode. Such a nickel fluoride layer is substantially non-conductive and passivates the anode, which caused the voltage increase.

Furthermore, a vermicular structure was observed in the metallic inner part immediately underneath the nickel fluoride layer over a depth of about 2 to 3 mm. The vermicular structure had mainly empty pores that had an average diameter of about 20 to 30 micron.

The aluminium produced during electrolysis was also analysed and showed a contamination by nickel of approximately 2500 ppm. This contamination was mainly caused by the dissolution of nickel from the anode's nickel-based alloy.

Comparative Example 2

The test carried out in Comparative Example 1 was repeated with an anode made from an iron-based alloy having the composition of sample L2 of Table 2.

During electrolysis the cell voltage increased progressively from about 3.6-3.8 volt to about 5 volt.

After 50 hours electrolysis was interrupted and the anode extracted. Upon cooling, the anode was examined externally and in cross-section.

The anode's outer dimensions had not significantly changed. The anode's initial oxide outer part of about 100-150 micron thick had grown to a poorly adherent outer oxide scale of about 2-3 mm covering an adherent oxide layer of about 100 micron. It is believed that the poor adherence of the outer oxide scale on the adherent oxide layer caused the progressive voltage increase of the cell.

10

20

25

3.0

No vermicular structure was observed in the 15 metallic inner part immediately underneath the oxide.

The aluminium produced during electrolysis was also analysed and showed a contamination by nickel of approximately 200 ppm.

Example 1

A test was carried out with a cell according to the invention comprising: a molten potassium fluoride-containing electrolyte at 925°C having the composition of sample F1 of Table I, i.e. rich in dissolved alumina, and an anode made from a nickel-iron alloy having the composition of sample A2 of Table 2.

The anode was manufactured like in Comparative Example 1 and suspended for 16 hours over the molten electrolyte.

Electrolysis was carried out in the same potassium fluoride-containing electrolyte. The current density was about 0.8 A/cm² and the cell voltage was stable at 3.8 volt during the entire test. The dissolved aluminacontent was maintained around 9 weight* by periodically feeding fresh alumina into the cell.

35 After 50 hours electrolysis was interrupted and the anode extracted. Upon cooling the anode was examined externally and in cross-section.

outer dimensions had anode's substantially unchanged. The anode's oxide outer part had grown from an initial thickness of about 20-30 micron to a thickness after use of about up to 150 the 1000 micron observed in instead of Example 1 (after only 32 hours Comparative electrolysis). Also, no passivating yellow-green layer of nickel fluoride (NiF2) was observed.

Immediately underneath the oxide outer part, a vermicular structure was observed in the metallic inner part over a depth of about 0.05 to 0.1 mm, instead of the 2 to 3 mm of Comparative Example 1. The vermicular structure had pores which were partly filled with oxides, in particular iron oxides, and which had an average diameter of about 2 to 5 micron.

10

20

25

30

35

The aluminium produced during electrolysis was also analysed and showed a contamination by nickel of approximately 1200-1500 ppm instead of the 2500 ppm of Comparative Example 1.

Example 2

Example 1 was repeated with an anode made form the nickel-cobalt-iron alloy composition of sample D2 of Table 2 which was prepared, like in Example 1, over a potassium fluoride-containing electrolyte having the composition of sample F1 of Table 1, i.e. rich in dissolved alumina. The anode was then tested in the electrolyte like in Example 1 and showed similar results.

Example 3

Example 1 was repeated with an anode made from the iron-based alloy composition of sample L2 of Table 2 prepared, like in Example 1, over a potassium fluoride-containing electrolyte having the composition of sample F1 of Table 1, i.e. rich in dissolved alumina. The anode was then tested in the electrolyte like in Example 1.

After 50 hours electrolysis was interrupted and the anode extracted. Upon cooling the anode was examined externally and in cross-section.

The anode's outer dimensions had remained substantially unchanged. The anode's oxide outer part had grown from an initial thickness of about 100-150 micron to a thickness after use of about up to 500-700 micron, instead of the poorly adherent outer oxide scale of about 2-3 mm covering an adherent oxide layer of about 100 micron of Comparative Example 2.

No vermicular structure was observed in the metallic inner part.

10 The product aluminium had a low nickel contamination, i.e. less than 200 ppm nickel.

Example 4

Example 1 was repeated with an anode made from the nickel-iron alloy composition of sample A2 of Table 2 which was prepared, like in Example 1, over a potassium fluoride-containing electrolyte having the composition of sample D1 of Table 1, i.e. rich in dissolved alumina. The anode was then tested in the electrolyte like in Example 1 and showed similar results.

Example 5

15

20

25

30

Examples 1 to 4 can be repeated using different combinations of electrolyte compositions (A1-G1) selected from Table 1 and anode alloy compositions (A2-F2) selected from Table 2.

Example 6

Another aluminium electrowinning anode was prepared as follows:

A slurry for coating an anode was prepared by suspending in 32.5 g of an aqueous solution containing 5 weight% polyvinyl alcohol (PVA) 67.5 g of a particle mixture made of hematite Fe_2O_3 particles, boron nitride particles, TiO_2 particles and CuO particles (with particle size of -325 mesh, i.e. smaller than 44 micron) in a weight ratio corresponding to sample A3 of Table 3.

An anode made of the nickel-based alloy of sample A2 of Table 2 was covered with ten layers of this slurry that were applied with a brush. The applied layers were dried for 10 hours at 140°C in air and then consolidated at 950°C for 16 hours to form a protective hematite-based coating which had a thickness of 0.4 to 0.45 mm.

During consolidation, the Fe_2O_3 particles were sintered together into a microporous matrix with a volume contraction. The TiO_2 particles and CuO particles were dissolved in the sintered Fe_2O_3 . The boron nitride particles remained substantially inert during the sintering but prevented migration and agglomeration of the micropores into cracks.

5

10

15

20

2.5

35

Underneath the coating, an integral oxide scale mainly of iron oxide had grown from the anode's alloy during the heat treatment and combined with iron oxide and titanium oxide from the coating to firmly anchor the coating to the oxidised alloy. The integral oxide scale contained titanium oxide in an amount of about 10 metal weight%. Minor amounts of copper, aluminium and nickel were also found in the oxide scale (less that 5 metal weight% in total).

Electrolysis was carried out in a potassium fluoride-containing electrolyte at 925°C having the composition of sample F1 of Table 1, i.e. rich in dissolved alumina. The current density was about 0.8 A/cm² and the cell voltage was stable at 3.6 volt during the entire test, instead of the 3.8 volt observed in Examples 1 to 4. The dissolved alumina-content was maintained around 9 weight% by periodically feeding fresh alumina into the cell.

After 50 hours electrolysis was interrupted and the anode extracted. Upon cooling the anode was examined externally and in cross-section.

The anode's outer dimensions as well as the anode's coating had remained substantially unchanged. However, ${\rm TiO_2}$ had selectively been dissolved in the electrolyte from the coating. The anode's structure underneath the coating was similar to the structure observed in Examples 1 to 4.

Samples of the used electrolyte and the product aluminium were also analysed. It was found that the

electrolyte contained less that 70 ppm nickel and the produced aluminium contained less than 300 ppm nickel which is significantly lower than with an uncoated anode that can cause a typical nickel contamination of 1200-1500 ppm in the product aluminium.

Example 7

Example 6 can be repeated using different combinations of electrolyte compositions (A1-G1) selected from Table 1, anode alloy compositions (A2-P2) selected from Table 2 and coating compositions (A3-L3) selected from Table 3.

Further details on the application of such anode coatings and suitable compositions are disclosed in PCT/IBO2/02973, PCT/IBO2/03392 and PCT/IBO2/03759 (all Norwen/de Nora).

15

20

In summary, as can be seen by comparing Example 1-5 to the Comparative Examples, using the potassium-fluoride electrolyte of the invention containing about 8 weight% dissolved alumina instead of a potassium-fluoride free electrolyte containing only 4 weight% dissolved alumina, inhibits fluorination and passivation of the nickel and/or cobalt of the anode and reduces wear (oxidation and dissolution of the anode's iron).

Furthermore, as can be observed from Examples 6-7,
25 use of a crack-free nickel-free hematite-based
protective coating on a nickel-iron anode alloy reduces
the cell voltage and significantly inhibits
contamination of the product aluminium by nickel from
the anode, compared to an uncoated nickel-iron anode
30 operated in the same type of electrolyte.

CLAIMS

- A cell for electrowinning aluminium from alumina, comprising:
- a metal-based anode having an outer part that contains at least one of nickel, cobalt and iron, the outer part having an electrochemically active oxide-based surface; and
 - a fluoride-containing molten electrolyte in which the active anode surface is immersed, the electrolyte being at a temperature below 950°C, in particular in the range from 910° to 940°C, and consisting of:
 - 6.5 to 11 weight% dissolved alumina;
 - 35 to 44 weight% aluminium fluoride;
 - 38 to 46 weight% sodium fluoride;
- 15 2 to 7 weight% potassium fluoride;

10

- 0 to 5 weight% calcium fluoride; and
- 0 to 5 weight% in total of one or more further constituents.
- The cell of claim 1, wherein the electrolyte
 contains 7 to 10 weight* alumina.
 - 3. The cell of claim 1 or 2, wherein the electrolyte contains 36 to 42 weight% aluminium fluoride, in particular 36 to 38 weight.
- The cell of any preceding claim, wherein the 25 electrolyte contains 39 to 43 weight% sodium fluoride.
 - 5. The cell of any preceding claim, wherein the electrolyte contains 3 to 5 weight* potassium fluoride.
 - The cell of any preceding claim, wherein the electrolyte contains 2 to 4 weight% calcium fluoride.
- 30 7. The cell of any preceding claim, wherein the electrolyte contains up to 3 weight% of said one or more further constituents.
 - 8. The cell of any preceding claim, wherein said one or more further constituents comprise at least one
- 35 fluoride selected from magnesium fluoride, lithium fluoride, cesium fluoride, rubidium fluoride, strontium fluoride, barium fluoride and cerium fluoride.

- The cell of any preceding claim, wherein the electrolyte contains alumina at a concentration near saturation on the active anode surface.
- 10. The cell of any preceding claim, comprising a cathode that has an aluminium-wettable surface, in particular a horizontal or inclined drained surface.
 - 11. The cell of claim 10, wherein the cathode has an aluminium-wettable coating that comprises a refractory boride and/or an aluminium-wetting oxide.
- 10 12. The cell of any preceding claim, wherein the anode has a metallic or cermet body and an oxide layer on the anode body.

15

20

35

- 13. The cell of any preceding claim, wherein the anode consists predominantly of iron and is optionally covered with an integral iron oxide-based layer.
 - 14. The cell of claim 13, wherein the anode body is made from an alloy consisting of:
 - made from an alloy consisting of:
 65 to 95 weight% iron, in particular 75 to 90 weight%;
- 2 to 10 weight% aluminium, in particular 3 to 6 weight%;
- 0 to 5 weight% niobium, in particular 2 to 4 weight%;
- 0 to 3 weight% hafnium, in particular 1 to 2 weight%;
- 0 to 15 weight% in total of nickel and/or cobalt, in particular 0 to 10 weight%;
- 25 0 to 6 weight% copper, in particular 0 to 4 weight%; and
 - 0 to 2 weight% in total of further constituents, in particular 0.5 to 1 weight%.
 - 15. The cell of any one of claims 1 to 12, wherein the 0 anode body is made from a nickel and/or cobalt-based alloy.
 - 16. The cell of claim 15, wherein the anode body is made from an alloy consisting of:
 - 50 to 65% in total of nickel and/or cobalt, in particular 55 to 60 weight%;
 - 25 to 40 weight% iron, in particular 30 to 35 weight%;
 - 3 to 11 weight% copper, in particular 5 to 9 weight%;
 - -1 to 3 weight% aluminium, in particular 2 to 3 weight%;
 - 40 0 to 2 weight% niobium, in particular 0 to 1 weight%;

- 0 to 2 weight% hafnium, in particular 0 to 1 weight%; and
- 0 to 2 weight% in total of further constituents, in particular 0.5 to 1 weight%.
- 5 17. The cell of claim 15 or 16, wherein the anode body is covered with an integral iron oxide-based layer containing oxides of iron and of at least one of nickel and cobalt, and optionally of one or more further metals, present in the following amounts calculated as weight of the metals:
- 10 weight% of the metals:
 65 to 99 weight% iron, in particular 80 to 95 weight%;
 - 1 to 35 weight% in total of nickel and/or cobalt, in particular 5 to 20 weight%; and
- 0 to 3 weight% in total of said one or more further metals, in particular 0 to 1.5 weight%.
 - 18. The cell of any preceding claim, wherein the anode comprises an applied iron oxide-based coating.
 - 19. The cell of claim 18, wherein the anode coating contains Fe₂O₃ and optionally: at least one dopant selected from TiO₂, ZnO and CuO and/or at least one inert material selected from nitrides and carbides.
 - 20. The cell of any preceding claim, wherein the anode comprises a cerium oxyfluoride-based outermost coating.
 - 21. The cell of any preceding claim, wherein the anode is suspended in the electrolyte by a stem containing nickel and/or iron.
 - 22. The cell of claim 21, wherein the stem has a core containing nickel and/or iron which is covered with an applied oxide coating, in particular an applied coating containing aluminium oxide and titanium oxide.
 - 23. The cell of claim 21 or 22, wherein the core of the stem comprises a copper-based inner part and an outer part containing nickel and/or iron.
 - 24. The cell of any preceding claim, comprising at least one component that contains a sodium-active cathodic material, such as elemental carbon, said sodium-active cathodic material being shielded from the electrolyte by a sodium-inert layer to inhibit the presence in the molten electrolyte of soluble athodically-produced sodium metal that constitutes an

agent for dissolving the active oxide-based anode surface.

- 25. A cell according to claim 1, comprising:
- A) a metal-based anode having an outer part that has an electrochemically active oxide-based surface and that is made from an alloy consisting of:
 - 75 to 90 weight% iron;
 - 3 to 6 weight% aluminium;
 - 0 to 4 weight% in total of niobium and/or hafnium;
- 10 0 to 10 weight% in total of nickel and/or cobalt;
 - 0 to 4 weight% copper; and
 - 0.5 to 1 weight% in total of further constituents;
 - 55 to 60 weight % in total of nickel and/or cobalt;
- 15 30 to 35 weight% iron;
 - 5 to 9 weight% copper;
 - 2 to 3 weight% aluminium;
 - 0 to 1 weight% in total of niobium and/or hafnium;
- 20 0.5 to 1 weight% in total of further constituents;
 - B) an anode stem containing nickel and/or iron for suspending the anode in the electrolyte, the stem being covered with a coating of aluminium oxide and titanium oxide;
- 25 C) a fluoride-containing molten electrolyte in which the active anode surface is immersed and which is at a temperature in the range from 910° to 940°C and which consists of:
 - 7 to 10 weight% dissolved alumina;
- 30 36 to 42 weight% aluminium fluoride;
 - 39 to 43 weight% sodium fluoride;
 - 3 to 5 weight% potassium fluoride;
 - 2 to 4 weight% calcium fluoride; and
- 0 to 3 weight% in total of one or more further constituents;

and

40

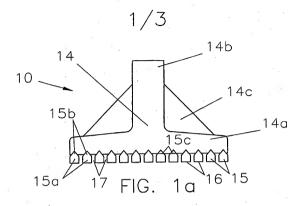
D) a cathode having an aluminium-wettable surface, in particular a drained horizontal or inclined surface, formed by an aluminium-wettable coating of refractory hard material and/or aluminium-wetting oxide.

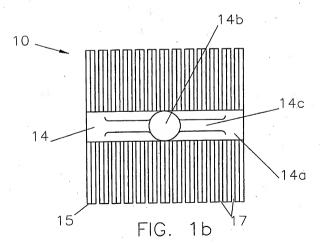
- 26. A method of electrowinning aluminium in a cell as defined in any preceding claim, comprising electrolysing the dissolved alumina to produce oxygen on the anode and aluminium cathodically, and supplying alumina to the electrolyte to maintain therein a concentration of dissolved alumina of 6.5 to 11 weight%, in particular 7 to 10 weight%.
- 27. An aluminium electrowinning anode made from an alloy consisting of:
- 0 65 to 95 weight% iron, in particular 75 to 90 weight% or 90 to 95 weight%;
 - 2 to 10 weight% aluminium, in particular 3 to 6 weight% or 5 to 10 weight%;
 - 0 to 5 weight% niobium, in particular 2 to 4 weight%;
- 15 0 to 3 weight hafnium, in particular 1 to 2 weight;
 - 0 to 15 weight% in total of nickel and/or cobalt, in particular 0 to 10 weight%;
 - 0 to 6 weight% copper, in particular 0 to 4 weight%;
- 20 0 to 2 weight% in total of further constituents, in particular 0.5 to 1 weight%, wherein the total amount of niobium, hafnium and further constituents is in the range from 0.25 to 3 weight%, in particular 0.75 to 2.5 weight%.
- 25 28. An aluminium electrowinning anode made from an alloy consisting of:
 - -50 to 65% in total of nickel and/or cobalt, in particular 55 to 60 weight%;
 - 25 to 40 weight% iron, in particular 30 to 35 weight%;
 - 3 to 9 weight% copper, in particular 5 to 7 weight%;
 - -1 to 3 weight% aluminium, in particular 2 to 3 weight%;
 - 0 to 2 weight% niobium, in particular 0 to 1 weight%;
- 0 to 2 weight% hafnium, in particular 0 to 1 weight%; and
 - 0 to 2 weight% in total of further constituents, in particular 0.5 to 1 weight%,
 - wherein the total amount of niobium, hafnium and further constituents is in the range from 0.25 to 5 weight%, in
- 40 particular 1.5 to 3 weight%.

30

ABSTRACT

A cell for the electrowinning of aluminium comprises a metal-based anode (10) containing at least one of nickel, cobalt and iron. The anode (10) may be covered with an applied or an integral hematite-based layer and/or optionally a cerium oxyfluoride-based outermost coating. The cell contains a fluoride-containing molten electrolyte (5) at a temperature below 950°C, in which the anode is immersed and which consists of: 6.5 to 11 10 weight% dissolved alumina; 35 to 44 weight% aluminium fluoride; 38 to 46 weight% sodium fluoride; 2 to 7 weight% potassium fluoride; 0 to 5 weight% calcium fluoride: and 0 to 5 weight% in total of one or more further constituents. A anode stem containing nickel 15 and/or iron (14b) can be used to suspend the anode (10) in the electrolyte facing a cathode (21,21A,25) that has an aluminium-wettable surface (20), in particular a drained horizontal or inclined surface.





2/3

